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OFFICE OF PETITIONS

February 26, 2004

HT98-002

TO: Commissioner of Patents and Trademarks
Washington, DC 20231

Attn:

From: George O. Saile Reg. No. 19,572
28 Davis Avenue
Poughkeepsie, NY 12603

Re: Patent No. **6,103,136** Application No. **09/406,007**
Issue Date: **8/15/00** Filing Date: **3/28/98**
Inventor(s): Cherng-Chyi Han, Mao-Min Chen, Cheng-Tzong Horng, Po-Kang Wang, Chyu-Jiuh Torng, Yimin Guo, Kochan Ju
Title: **Method For Forming A Soft Adjacent Layer (SAL) Magnetoresistive (MR) Sensor Element With Transversely Magnetically Based Soft Adjacent Layer (SAL)**

PETITION TO CORRECT INVENTORSHIP

Please correct the inventorship of record for the above-referenced patent to include **Yimin Guo** as an inventor. Enclosed are following documents:

1. Statement Concerning Inventorship by Yimin guo.
2. Statement Concerning Correction of Inventorship by the existing inventors
3. Statement Concerning Correction of Inventorship by the Assignee
4. Statement Under 37 CFR 3.73(b)
5. Declaration signed by all inventors

CERTIFICATE OF MAILING

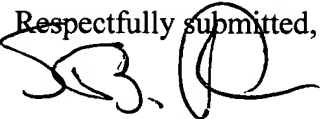
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, P.O. Box 1450, Alexandria VA 22313-1450 on February 27, 2004

Signature: 
Stephen B. Ackerman

Date February 27, 2004

The Commissioner is hereby authorized to charge the fee of \$130 associated with this communication to our Deposit Account No. 19-0033. A duplicate of this Petition is attached.

Should there be any questions regarding this matter, please call the undersigned attorney at (845) 452 5863.

Respectfully submitted,

Stephen B. Ackerman



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February 16, 2003

To: Commissioner For Patents
Washington, D.C. 20231

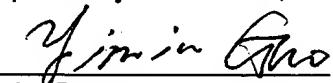
From: Stephen B. Ackerman Reg. No.37,761
28 Davis Avenue
Poughkeepsie, NY 12603

Re: Patent No.: **6,103,136** Application No. **09/046,007**
Issue Date: **8/15/00** Filing Date: **3/28/98**
Title: **Method For Forming A Soft Adjacent Layer (SAL)**
Magnetoresistive (MR) Sensor Element With
Transversely Magnetically Biased Soft Adjacent Layer
(SAL)

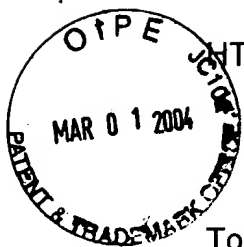
Statement Concerning Inventorship

I hereby state that I am an inventor of the above-referenced patent , and
that the error in inventorship occurred without deceptive intent on my part.

Respectfully submitted,


Yimin Guo

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TC 1700



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February 16, 2003

To: Commissioner For Patents
Washington, D.C. 20231


From: Stephen B. Ackerman Reg. No.37,761
28 Davis Avenue
Poughkeepsie, NY 12603

Re: Patent No.: **US6,103,136** Application No. **09/046,007**
Issue Date: **8/15/00** Filing Date: **3/28/98**
Title: **Method For Forming A Soft Adjacent Layer (SAL)**
Magnetoresistive (MR) Sensor Element With
Transversely Magnetically Biased Soft Adjacent Layer
(SAL)

Statement By Assignee Concerning Correction of Inventorship

As Assignee of the above-referenced patent, we do not object to a correction of inventorship whereby Yimin Guo is added as an inventor of this patent.

Respectfully submitted,



Name: MAO-MIN CHEN

Title: Vice President

Headway Technologies, Inc.

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February 16, 2003

To: Commissioner For Patents
Washington, D.C. 20231

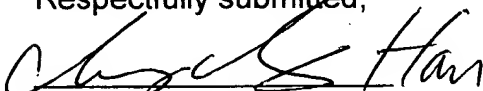
From: Stephen B. Ackerman Reg. No.37,761
28 Davis Avenue
Poughkeepsie, NY 12603

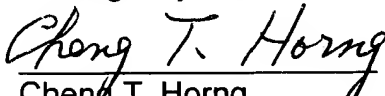
Re: Patent No.: 6,103,136 Application No. 09/046,007
Issue Date: 8/15/00 Filing Date: 3/28/98
Title: **Method For Forming A Soft Adjacent Layer (SAL)
Magnetoresistive (MR) Sensor Element With
Transversely Magnetically Biased Soft Adjacent Layer
(SAL)**

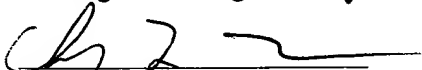
Statement Concerning Correction of Inventorship


As an inventor of the above-referenced patent, I do not object to adding
Yimin Guo as co-inventor of this patent.

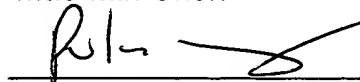
Respectfully submitted,

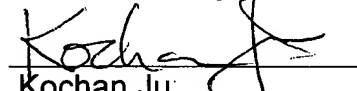

Cheng-Chyi Han


Cheng T. Horng

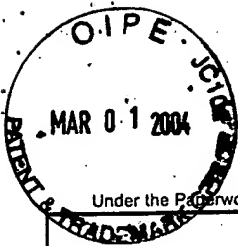

Chyd Jiuh Torng


Mao-Min Chen


Po-Kang Wang


Kochan Ju

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PTO/SB/96 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Headway Technologies, Inc.
Application No./Patent No. 6,103,136 Filed/Issue Date: 15 August 2000
Entitled: Method For Forming A Soft Adjacent Layer (SAL) Magnetoresistive (MR) Sensor Element With Transversely Magnetically Biased Soft Adjacent Layer (SAL)
Headway Technologies, Inc., a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %
in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached. 010045 0552

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2-26-2003
Date

MAO-MIN CHEN
Typed or printed name

[Signature]
Signature

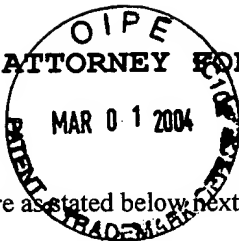
Vice President
Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

DOCKET NO. HT98-002

As a below named Inventor, I hereby declare that:



My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled Method For Forming A Soft Adjacent Layer (SAL) Magnetoresistive (MR) Sensor Element With Transversely Magnetically Biased Soft Adjacent Layer (SAL)

the specification of which (check one)

X is attached hereto.

was filed on _____

Application Serial No. _____

and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above Identified specification including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed:

(Number)	(Country)	(Day/Month/Year Filed)
(Number)	(Country)	(Day/Month/Year Filed)

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name & registration no.)

GEORGE O. SAILE. (Reg. No. 19,572), STEPHEN B. ACKERMAN (Reg. No. 37,761)

Send Correspondence to: 28 DAVIS AVENUE, POUGHKEEPSIE, NEW YORK 12603

Direct telephone Calls to: (name & telephone number) GEORGE O. SAILE NEW YORK 845 452 5863

CHERNG-CHYI HAN

Full name of sole or first inventor

Date

Inventor's signature

Residence

Citizenship

678 South Hillview Drive, Milpitas, CA 95035
Post Office Address

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MAO-MIN CHEN

Full name of second inventor

Date

2/26/2003

Inventor's signature

Residence

Citizenship

678 South Hillview Drive, Milpitas, CA 95035

Post Office Address

CHENG TZONG HORNG

Full name of third inventor

Date

2/26/2003

Inventor's signature

Residence

Citizenship

678 South Hillview Drive, Milpitas, CA 95035

Post Office Address

PO-KANG WANG

Full name of fourth inventor

Date

2/27/03

Inventor's signature

Residence

Citizenship

678 South Hillview Drive, Milpitas, CA 95035

Post Office Address

CHYU-JIUH TORNG

Full name of fifth inventor

Date

2/27/03

Inventor's signature

Residence

Citizenship

678 South Hillview Drive, Milpitas, CA 95035

Post Office Address

YIMIN GUO

Full name of sixth inventor

Date

2/26/03

Inventor's signature

Residence

Citizenship

678 South Hillview Drive, Milpitas, CA 95035

Post Office Address

DOCKET NO. **HT98-002**

2-26-2003

KOCHAN JU

Full name of seventh inventor

Date

Inventor's signature

Residence

Citizenship

678 South Hillview Drive, Milpitas, CA 95035

Post Office Address

15840 Lancaster Rd, Monte Serena, CA 95030

USA.